

1754

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission **47**

Application Number **10/033,028**

Filing Date **12/28/2001**

First Named Inventor **Richard E. Smalley**

Group Art Unit **1754**

Examiner Name **Not Yet Assigned**

Attorney Docket Number **11321-P012USD13**

ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Reply

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) _____

Remarks

Power of Attorney: Supplemental Declaration and Power of Attorney for Patent Application (45 pages/10 signatures)

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s) (please identify below):

Postal Receipt Card

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Ross Spencer Garsson, Winstead Sechrest & Minick P.C.

Signature

05/08/2003

Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

May 8, 2003

Typed or printed name

Gracie Solis

Signature

Date

05/08/2003

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known

Application Number	10/033,028
Filing Date	12/28/2001
First Named Inventor	Richard E. Smalley
Examiner Name	Not Yet Assigned
Art Unit	1754
Attorney Docket No.	11321-P012USD13

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	23-2426
Deposit Account Name	Winstead Sechrest & Minick P.C.

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$ 0.00)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims		Fee Paid
	Fee from below	Fee Paid	
Independent Claims	-20** =	X	
Multiple Dependent	- 3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18,	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 0.00)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	TC 1100 ROOM
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	1100 ROOM
1053 130	1053 130	Non-English specification	1100 ROOM
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	1100 ROOM
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	1100 ROOM
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	1100 ROOM
1251 110	2251 55	Extension for reply within first month	1100 ROOM
1252 410	2252 205	Extension for reply within second month	1100 ROOM
1253 930	2253 465	Extension for reply within third month	1100 ROOM
1254 1,450	2254 725	Extension for reply within fourth month	1100 ROOM
1255 1,970	2255 985	Extension for reply within fifth month	1100 ROOM
1401 320	2401 160	Notice of Appeal	1100 ROOM
1402 320	2402 160	Filing a brief in support of an appeal	1100 ROOM
1403 280	2403 140	Request for oral hearing	1100 ROOM
1451 1,510	1451 1,510	Petition to institute a public use proceeding	1100 ROOM
1452 110	2452 55	Petition to revive - unavoidable	1100 ROOM
1453 1,300	2453 650	Petition to revive - unintentional	1100 ROOM
1501 1,300	2501 650	Utility issue fee (or reissue)	1100 ROOM
1502 470	2502 235	Design issue fee	1100 ROOM
1503 630	2503 315	Plant issue fee	1100 ROOM
1460 130	1460 130	Petitions to the Commissioner	1100 ROOM
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	1100 ROOM
1806 180	1806 180	Submission of Information Disclosure Stmt	1100 ROOM
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	1100 ROOM
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	1100 ROOM
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	1100 ROOM
1801 750	2801 375	Request for Continued Examination (RCE)	1100 ROOM
1802 900	1802 900	Request for expedited examination of a design application	1100 ROOM

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

(Complete if applicable)

SUBMITTED BY	Name (Print/Type)	Ross Spencer Garson	Registration No. (Attorney/Agent)	38,150	Telephone 512/370-2870
Signature			Date	5/8/07	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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